

VIRGINIA E. HARPER HO

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TEACHING AND RESEARCH APPOINTMENTS

UNIVERSITY OF KANSAS SCHOOL OF LAW

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| <i>Associate Dean for International & Comparative Law</i> | 2018-present |
| <i>Professor of Law</i> | 2016-present |
| <i>Associate Professor of Law</i> | 2010-2016 |

Courses taught: Business Organizations; Corporate Finance;
Business Associations I; Deals; Due Diligence in
Business Transactions; International Commerce & Investment;
Chinese Law; Corporate Responsibility, Sustainability & the Law.

INDIANA UNIVERSITY MAURER SCHOOL OF LAW

2008-2010

Visiting Assistant Professor
Courses taught: Law in Modern China; Seminar in Corporations.

UNIVERSITY OF IOWA, CENTER FOR ASIAN & PACIFIC STUDIES

2003-2004

CAPS Research Fellow. Conducted research on labor law reform in China.

EDUCATION

HARVARD LAW SCHOOL, *J.D. cum laude*

June 2001

2001 Yong K. Kim Memorial Prize (awarded for the best paper
on the law or legal history of the nations and peoples of East Asia)
Jessup International Moot Court Competition: Regional Championship Team
Editor-in-Chief, *Harvard Asia Quarterly*
Associate Editor, Book Notes Editor, *Harvard International Law Journal*

INDIANA UNIVERSITY—BLOOMINGTON

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| Business Foundations Certificate | May 1998 |
| M.A., Applied Linguistics | Dec. 1997 |
| B.A., <i>summa cum laude</i> , Chinese Language and Literature, Russian Language and Literature | Dec. 1995 |

PUBLICATIONS

Book

LABOR DISPUTE RESOLUTION IN CHINA: IMPLICATIONS FOR LABOR RIGHTS AND LEGAL REFORM (University of California, Berkeley: Institute for East Asian Studies, 2003) (awarded the 2001 Yong K. Kim Memorial Prize, Harvard Law School).

Reviewed by Margaret Y.K. Woo (Northeastern U. School of Law), 78 PAC. AFF. 470 (2005); Mark Frazier (Lawrence Univ. Dept. of Pol. Sci.), 12 CHINA REV. INT'L 448 (2005).

Book Chapters

Rewriting Smith v. Van Gorkom, in Kelli Alces, et al., eds., FEMINIST JUDGMENTS: REWRITTEN CORPORATE LAW (Cambridge Univ. Press, forthcoming 2021).

Board Duties: Monitoring, Risk Management & Compliance, in HANDBOOK OF COMPARATIVE CORPORATE GOVERNANCE, Afra Afsharipour & Martin Gelter, eds. (Edward Elgar: forthcoming 2020).

Capital Market Disclosure Regimes: Advancing Accountability for Chinese TNCs, in HANDBOOK OF RESEARCH ON TRANSNATIONAL CORPORATIONS, Alice de Jonge and Roman Tomasic, eds. (Edward Elgar: 2017).

Journal Articles

Disclosure Overload? Lessons for Risk Disclosure & ESG Reporting Reform From the Regulation S-K Concept Release, 65 VILL. L. REV. __ (forthcoming 2020).

Non-financial Reporting & Corporate Governance: Explaining American Divergence and Its Implications for Disclosure Reform, Symposium: Non-Financial Reporting for a Sustainable Circular Economy: Towards Greater Policy Coherence, __ ACCT., ECON. & L. __ (2020), <https://doi.org/10.1515/ael-2018-0043> (invited contribution, peer-reviewed).

ESG Disclosure: Optimizing Private Ordering in Public Reporting, 41 U. PENN. INT'L L. J. 249 (2019) (with Stephen Park).

From Public Policy to Materiality: Non-Financial Reporting, Shareholder Engagement, & the Rule 14a-8 Ordinary Business Exception, 76 WASH. & LEE L. REV. 1231-57 (2019), Lara D. Gass Symposium: *Civil Rights and Shareholder Activism* (invited contribution) (lead article).

Sustainable Finance & China's Green Credit Reforms: A Test Case for Bank Monitoring of Environmental Risk, 51 CORNELL INT'L L. J. 609 (2018).

Nonfinancial Disclosure & The Costs of Private Ordering, 55 AM. BUS. L. J. 407 (2018) (lead article, peer-reviewed), University of Oslo Faculty of Law Legal Studies Research Paper Series, No. 2018-01, https://papers.ssrn.com/sol3/papers.cfm?abstract_id=3108363, awarded the Academy of Legal Studies in Business (ALSB) Hoeber Award for Excellence in Research.

“Comply or Explain” and the Future of Nonfinancial Reporting, Symposium: Innovating Corporate Social Responsibility: From the Local to the Global, 21 LEWIS & CLARK L. REV. 317 (2017), *reprinted in* 2018 SEC. L. REV. § 3.2 (selected by Donald C. Langevoort, ed.) (a yearly anthology of leading articles in securities law).

Risk-Related Activism: The Business Case for Monitoring Nonfinancial Risk, 41 J. CORP. L. 647 (2016).

Team Production & the Multinational Enterprise, Berle VI Symposium: Blair & Stout and Beyond, 38 SEATTLE L. REV. 499 (2015) (invited contribution).

Corporate Responsibility in China: Law & the Business Case for Strategic CSR, Symposium: Corporate Social Responsibility in Emerging Markets, 12 S.C. J. INT’L L. & BUS. 1 (2015) (lead article; invited contribution).

The Power of Presence, 5 J. CHRISTIAN L. THOUGHT 3 (2015) (invited contribution).

The Pursuit of Happiness with Chinese Characteristics, Symposium: Chinese Legal Reform, 82 UMKC L. REV. 915 (2014) (invited contribution).

The Recursivity of Reform: China’s Amended Labor Contract Law (with Qiaoyan Huang), 37 FORDHAM INT’L L. REV. 973 (2014).

Of Enterprise Principles and Corporate Groups: Does Corporate Law Reach Human Rights?, 52 COLUM. J. TRANSNAT’L L. 113 (2013).

Beyond Regulation: A Comparative Look at State-Centric Corporate Social Responsibility & the Law in China, 46 VANDERBILT TRANSNAT’L L. J. 375 (2013).

Corporate Governance as Risk Regulation in China: A Comparative View of Risk Oversight, Risk Management, and Accountability, 4 EUR. J. RISK REG. 463 (2012) (Special Issue: “Comparing Risk Regulation in China and Europe”) (lead article, peer-reviewed), *reprinted in* 《外国学者论中国法丛书——公司法分卷》；丛书总主编：陈夏红。公司法分卷主编：葛平亮，梁皎龙；《论中国关于风险规制的公司治理规则：以比较法研究看风险监督、风险管理和企业责任》，郝唯真著，闫文嘉译，第 138 页，北京：中国大百科全书出版社，2014 [Foreign Experts on Chinese Law – Corporate Law, at 138, trans. Xian Wenxi] (Chen Xiaohong, series ed.; Ge Pingliang, Liang Jiaolong, vol. eds.; Beijing: Encyclopedia of China Publishing House, 2014)].

Governance Beyond Regulation: Corporate Social Responsibility and the Role of the State in Comparative Perspective (in Chinese) [超越规则的治理：比较视角下的企业社会责任和政府角色]，公共行政评论 2:100 [2 CHINESE J. PUBL. ADMIN. 100] (2012) (Chinese Social Science Citation Index (SSCI) journal, peer-reviewed).

Theories of Corporate Groups: Corporate Identity Reconceived, 42 SETON HALL L. REV. 879 (2012).

"Enlightened Shareholder Value": Corporate Governance Beyond the Shareholder-Stakeholder Divide, 36 J. CORP. L. 59 (2010).

From Contracts to Compliance? An Early Look at Implementation Under China's New Labor Legislation, 23 COLUM. J. ASIAN L. 35 (2009), *reprinted in* OBLIGATIONS AND PROPERTY RIGHTS IN CHINA (Perry Keller, ed., 2012 (Ashgate)).

Non-citizen Voting Rights: the History, the Law, and Current Prospects for Change, 18 L. & INEQ. J. 271 (2000) (lead article), *reprinted in* 21 IMMIGR. & NAT'LITY L. REV. 477 (2000) (issue featuring leading immigration law articles from the prior year).

Policy Briefs

Comment to the Securities & Exchange Commission on the Modernization of Regulation S-K Items 101, 103, and 105 (File Number S7-11-19), Oct. 22, 2019.

"Incentives & Impacts of the Suzhou Industrial Park (SIP) Corporate Social Responsibility (CSR) Audit Program," Suzhou Industrial Park (SIP), Suzhou, China, Dec. 2018.

"Corporate Social Responsibility & Chinese Investment in Africa: China's Soft Power or Real Corporate Accountability?" UNITED STATES INSTITUTE FOR PEACE, PEACE BRIEF, Sept. 20, 2017, <http://usip.org/publications/2017/09/chinas-soft-power-africa-or-real-corporate-accountability>.

(with Linda Lowson, et al.) "Financial Stability Board Guidance on Climate-Related Financial Reporting: Game-Changer for Voluntary Sustainability Reporting?" ABA Section of International Law, International Environmental Law Committee, March 2017.

Research Brief, "Sustainability Financial Reporting: United States", ABA Section of Environment, Energy & Resources, Policy Initiative: Sustainability Financial Reporting – G20 Task Force on Climate-Related Financial Disclosures (TCFD) (Aug. 2016) (with Jeanne Solomon).

Research Brief, "Sustainability Financial Reporting: People's Republic of China", ABA Section of Environment, Energy & Resources, Policy Initiative: Sustainability Financial Reporting – G20 Task Force on Climate-Related Financial Disclosures (TCFD) (July 2016).

Research Brief, "Sustainability Financial Reporting: Hong Kong SAR", ABA Section of Environment, Energy & Resources, Policy Initiative: Sustainability Financial Reporting – G20 Task Force on Climate-Related Financial Disclosures (TCFD) (July 2016) (with Paul Wehrmann).

Research Brief, "Voluntary Reporting & Shareholder Activism," ABA Section of Environment, Energy & Resources, Policy Initiative: Sustainability Financial Reporting – G20 Task Force on Climate-Related Financial Disclosures (TCFD) (July 2016).

Comment on the definition of "eligible organization" for purposes of Coverage of Certain Preventative Services Under the Affordable Care Act, submitted to the Centers for Medicare & Medicaid Services, Department of Health and Human Services [File Code CMS-9940-P], Oct. 21, 2014.

Commentator, Draft Model Business Conduct Standards to Eradicate Labor/Child Trafficking, ABA Section of Business Law, Oct.-Nov. 2013.

“Labor Law Reform in China: Is it Working?” in Jialu Liu, Virginia Harper Ho, & Zhang Lu, *Chinese Workers: Under Threat or a Threat to American Workers?* (Ind. U. Rsrch. Ctr. for Chinese Pol. & Bus. Working Paper, Paper No. 2, July 2010).

Professional Publications

Over-Disclosure Overblown, THE CONFERENCE BOARD: SUSTAINABILITY MATTERS (forthcoming 2020).

Sustainability in the Mainstream: Why Investors Care and What it Means for Corporate Boards, THE CONFERENCE BOARD: DIRECTOR NOTES (Nov. 2017).

Marya M. Rose & M. Angella Castille & Virginia Harper Ho, *The Challenges of Global Compliance in Emerging Markets*, 25 ACC DOCKET 52 (Sept. 2007).

Zack Z. Dong & Virginia Harper Ho, *Buying Into the China Market*, FINANCIER WORLDWIDE, Apr. 2007, at 42-43.

Kenneth Tung, Edward Williams & Virginia E. Ho, *Catching the M&A Wave in China: A New Era of Foreign Investment*, 23 ACC DOCKET 46 (June 2005).

Media; Blog Postings

Quoted in April Glaser, “Amazon workers protest proposal to raise bar for shareholder resolutions,” NBC NEWS (Feb. 3, 2020), <https://www.nbcnews.com/news/all/amazon-workers-protest-proposal-raise-bar-shareholder-resolutions-n1129216>.

Quoted in Russel Pearlman, *The Purpose Movement’s Blind Spot*, BRIEFINGS MAG. (2020).

Invited guest blog, *How Rule 14a-8 & the Ordinary Business Exception Impede ESG Disclosure Reform*, CLS BLUE SKY BLOG - Columbia Law School’s blog on Corporations and the Capital Markets (Nov. 12, 2019), available at <http://clsbluesky.law.columbia.edu/2019/11/12/how-sec-rule-14a-8-and-the-ordinary-business-exception-impede-esg-disclosure-reform/>.

Invited guest blog, *Is There Too Much Disclosure?*, CLS BLUE SKY BLOG - Columbia Law School’s blog on Corporations and the Capital Markets (Nov. 5, 2019), available at <http://clsbluesky.law.columbia.edu/2019/11/05/is-there-too-much-disclosure/>.

Quoted in Russel Pearlman, *Profit v. Purpose: The Duel Begins*, BRIEFINGS MAG. (Korn Ferry Institute) (May 15, 2018), <https://www.kornferry.com/institute/the-duel-begins>.

Invited guest blog, *Nonfinancial Disclosure & The Costs of Private Ordering*, CLS BLUE SKY BLOG – Columbia Law School’s blog on Corporations and the Capital Markets, Jan. 22, 2018, available at <http://clsbluesky.law.columbia.edu/2018/01/22/nonfinancial-risk-disclosure-and-the-costs-of-private-ordering/>.

Quoted in *Sustainability & Disclosure: New Demands on Corporate Boards*, DIRECTORS & BOARDS (2017 4th qtr.), <https://www.directorsandboards.com/print/articles/singlesustainability-disclosure-new-demands-corporate-boards>.

Invited guest blog, *How a “Comply or Explain” Rule Would Improve Nonfinancial Disclosure*, CLS BLUE SKY BLOG – Columbia Law School’s blog on Corporations and the Capital Markets, Mar. 14, 2017, available at <http://clsbluesky.law.columbia.edu/>.

Invited guest blog, *The Risk Hypothesis of Shareholder Activism*, CLS BLUE SKY BLOG – Columbia Law School’s blog on Corporations and the Capital Markets, Oct. 7, 2014, available at <http://clsbluesky.law.columbia.edu/>.

Quoted in Eduard Fernandez, *Esclus del ‘Boom’ xines*, EL PUNT AVUI, Dec. 16, 2012 (in Spanish) (article on Chinese labor rights and legal implementation).

Book & Lecture Reviews

Book Review, 69 ASIAN STUDIES 1181 (2010) (reviewing RONALD BROWN, UNDERSTANDING LABOR & EMPLOYMENT LAW IN CHINA (2009)).

Book Review, 57 AM. J. COMP. L. 387 (2009) (reviewing JIANFU CHEN, CHINESE LAW: CONTEXT AND TRANSFORMATION (2008)).

Book Review, 12 CHINA REV. INTL. 130 (2005) (reviewing HILARY K. JOSEPHS, LABOR LAW IN CHINA (2nd ed. 2003)).

Book Note, 40 HARV. INTL. L.J. 328 (1999) (reviewing LEX TAKKENBERG, THE STATUS OF PALESTINIAN REFUGEES IN INTERNATIONAL LAW (1998)).

Lecture Review, *Challenges to the Massachusetts Burma Law under the U.S. Constitution and GATT*, HARV. ASIA Q., Spring 1999.

ACADEMIC PRESENTATIONS

International Presentations

“Modernizing ESG Disclosure,” Comissão de Valores Mobiliários (CVM) (Securities & Exchange Commission of Brazil), Rio de Janeiro, Brazil, May 4, 2020 (invited).

“Non-Financial Reporting in Comparative Perspective: Optimizing Private Ordering in Public Disclosure,” Instituto Tecnológico Autónomo de México (ITAM), Departamento Académico de Derecho, March 15, 2019 (invited).

“Accelerating Sustainable Finance: A Network Production Model of Transnational Regulatory Innovation,” World Interdisciplinary Network for Institutional Research (WINIR) Conference on Institutions and the Future of Global Capitalism, Sept. 14-17, 2018, Chinese University of Hong Kong (selected).

“Networked Diffusion & Sustainable Finance: Can the West Learn from the Rest?,” Central University of Finance and Economics International Institute of Green Finance, July 3, 2018 (invited).

“Sustainable Finance & China’s Green Credit Reforms: A Test Case for Bank Monitoring of Environmental Risk,” Chinese Academy of Social Sciences, Beijing, July 10, 2018 (invited).

“Sustainable Finance & China’s Green Credit Reforms: A Test Case for Bank Monitoring of Environmental Risk,” National Taiwan University College of Law, June 21, 2018 (invited).

“Nonfinancial Risk & The Costs of Private Ordering,” Law, Finance & Sustainability Conference, University of Sheffield (U.K.), Sheffield Institute of Corporate and Commercial Law, Sept. 11, 2017 (invited).

“Sustainable Finance & Creditor Incentives,” Central University of Finance & Economics, Beijing, July 7, 2017 (invited).

“China's Green Credit Reforms: Creditor Monitoring & ESG Transparency,” American Society of Comparative Law Younger Comparativists Committee, 2017 Global Conference, Koc University, Istanbul, Turkey, Apr. 28-29, 2017 (selected).

“Corporate Social Responsibility & Poverty Alleviation,” China Development Research Fund (CDRF), Beijing, China, June 20, 2016 (invited).

“Shareholder Activism and Firm-Specific Risk: Arbitraging Engagement,” United Nations Principles for Responsible Investment Academic Network Conference: Bridging the Gap, Montreal, Canada, Sept. 22-24, 2014 (selected).

“Government Voluntary Clubs: A Comparative Look at Regulatory Innovation,” Fudan University, School of International Relations and Public Affairs, Shanghai, China, July 1, 2013 (invited).

“Professionalism in Legal Practice” [“法律职业的专业只能”], King & Wood Mallesons, Beijing, China, June 28, 2013 (invited).

“U.S. Financial Reform & Corporate Governance: a Case Study of Intersecting Regulatory Roles,” Sun Yat Sen (Zhongshan) University, School of Law, Guangzhou, China, June 8, 2011 (invited).

“Corporate Social Responsibility as Collaborative Governance? The PRC Approach in Comparative Perspective”

- Fudan University, School of International Relations and Public Affairs, Conference on “Collaborative Governance in Mainland China, Taiwan, and Hong Kong: Trends, Issues and Perspectives,” June 17, 2011 (selected).
- Chinese University of Hong Kong, University Services Center, Hong Kong, June 3, 2011.
- Sun Yat Sen (Zhongshan) University, School of Government, Guangzhou, China, June 8, 2011.

“The Role of Governments in Corporate Social Responsibility: What’s Law Got to Do with It?”

- Zhejiang University Guanghua School of Law, Hangzhou, China, June 20, 2011.
- Sun Yat Sen (Zhongshan) University, School of Government, Guangzhou, China, June 7, 2011.

“The Debate over Judicial Independence in the United States: Judicial Appointment, Tenure, and Compensation,” Zhejiang University Guanghua School of Law, Hangzhou, China, June 23, 2011 (invited).

“Privatizing Justice: Transitional Enforcement of Labor Rights in China,” Chinese University of Hong Kong, Hong Kong, Sept. 15, 2003.

National Presentations

Panelist, “Corporate Purpose, Corporate Personhood and Our Corporate Society,” Law & Society Annual Meeting, May 28-31, 2020 (invited).

Panelist, Moderator, “The Place of East Asian Law Scholars and Teachers at U.S. Law Schools,” Section of East Asian Law & Society, Association of American Law Schools (AALS), Jan. 4, 2020 (invited).

Moderator, “Markets & Regulation: The Shifting Context of Transactional Practice,” Section on Transactional Law & Skills, Association of American Law Schools (AALS), Jan. 4, 2020.

“Between the Market & the State: Lessons from Chinese Corporate Governance Reform Since 1979,” China’s Legal Construction Program at 40 Years – Towards an Autonomous Legal System?, University of Michigan Law School & Lieberthal Rogel Center for Chinese Studies Joint Conference, Oct. 11-13, 2019 (invited).

“Board Duties: Monitoring, Risk Management & Compliance,” Conference on Comparative Corporate Governance, Fordham University School of Law Corporate Law Center, Sept. 27-29, 2019 (invited).

“Over-disclosure? Lessons for Risk-Related Disclosure & ESG Reporting Reform From the Regulation S-K Concept Release,” 2019 National Business Law Scholars Conference, University of California, Berkeley School of Law, June 20-21, 2019 (selected).

“From Public Policy to Materiality: Non-Financial Reporting, Shareholder Engagement & the Rule 14a-8 Ordinary Business Exception,” Washington & Lee School of Law, Feb. 15, 2019 (invited).

“Sustainable Finance & China’s Green Finance Reforms: A Test Case for Bank Monitoring of Environmental Risk,” Section on East Asian Law & Society: “Established Scholars & New Voices Meet,” Association of American Law Schools (AALS) Annual Meeting, Jan. 5, 2019 (selected).

Panelist, “Globalization, Sustainability, and Firm Cultures,” Section on Economic Globalization and Governance Panel, Association of American Law Schools (AALS) Annual Meeting, Jan. 3, 2019 (invited).

Panelist, “U.S.-China Tariffs & Trade,” 2018 China Town Hall, National Committee on U.S.-China Relations, Kansas City, Oct. 9, 2018 (invited local keynote speaker following national broadcast with former U.S. Secretary of State and National Security Advisor Condoleezza Rice) (invited).

(with Stephen Park), “The Global Scope of Non-Financial Reporting: Optimizing Private Ordering in Public Disclosure,” 2018 National Business Law Scholars Conference, University of Georgia School of Law, June 21-22, 2018 (selected).

Commentator, American Society of Comparative Law Younger Comparativists Committee Workshop on Comparative Business & Financial Law, Fordham University School of Law, Feb. 2-3, 2018 (invited).

(with Stephen Park), “The Global Scope of Non-Financial Reporting: Optimizing Private Ordering in Public Disclosure,” American Society of Comparative Law Younger Comparativists Committee Workshop on Comparative Business & Financial Law, Fordham University School of Law, Feb. 2-3, 2018 (invited).

Moderator, “Access to Justice in Transactional Law: Expanding Access to Economic Justice through Various Pedagogies,” Section on Transactional Law & Skills Panel, Association of American Law Schools (AALS) Annual Meeting, Jan. 3, 2018.

“The U.S. and China’s Shared Domestic Challenges,” 2017 China Town Hall, National Committee on U.S.-China Relations, University of Kansas, Oct. 24, 2017 (invited local keynote speaker following national broadcast with former U.S. Ambassador to the United Nations, Hon. Susan Rice).

“Disclosure Effectiveness, Nonfinancial Risk, & the Costs of Private Ordering,” 2017 National Business Law Scholars Conference, University of Utah S. J. Quinney College of Law, June 9, 2017 (selected).

“Shareholder Activism & Voluntary Reporting,” 2017 J.B. Maurice C. Shapiro Environmental Law Symposium: Private Environmental Governance, George Washington University School of Law, Mar. 2-3, 2017 (invited).

Moderator & Commentator, American Society of Comparative Law Younger Comparativists Committee Workshop on Comparative Business & Financial Law, University of Kansas School of Law, Feb. 10-11, 2017.

“China's Green Credit Reforms: Creditor Monitoring & ESG Transparency,” International & Comparative Law Research Forum, Harvard Law School, Nov. 16, 2016 (invited).

“‘Comply or Explain’ and the Future of Nonfinancial Reporting,” Annual Business Law Forum: Innovations in CSR, Lewis & Clark University School of Law, Oct. 7, 2016 (invited).

Commentator, American Society of Comparative Law Younger Comparativists Committee Workshop on Comparative Business & Financial Law, University of California – Davis School of Law, Feb. 5-6, 2016 (invited).

Panelist, “Integrating Law, Sustainability and Environment in the Business Curriculum,” Academy of Legal Studies in Business (ALSB) Annual Meeting, Philadelphia, Pennsylvania, Aug. 9, 2015 (invited).

Chair, Roundtable: “Shareholders, Stewardship & Accountability,” Law & Society Annual Conference, Seattle, Washington, May 28, 2015.

Guest Commentator, Berle VII Symposium: The Nature of the Modern Corporation, University of Seattle School of Law, May 25-27, 2015 (co-sponsored by The Aspen Institute Program on Business & Society) (invited).

“Control & Autonomy in Chinese Corporate Groups,” American Society of Comparative Law Younger Comparativists Committee, 2015 Global Conference, Florida State University College of Law, Apr. 16-17, 2015.

Panel Moderator & Commentator, “Financial Regulation and Commercial Law” Panel, American Society of Comparative Law Younger Comparativists Committee, 2015 Global Conference, Florida State University College of Law, Apr. 16-17, 2015 (invited).

“Risk-Related Activism,” Center for Law, Economics, Accounting & Finance (C-LEAF) Fifth Annual Junior Faculty Business & Financial Law Workshop, George Washington University Law School, Feb. 27-28, 2015 (selected).

“Corporate Responsibility in China: Law & the Business Case for Strategic CSR,” Symposium: Corporate Social Responsibility in Emerging Markets, University of South Carolina School of Law, Feb. 5-6, 2015 (invited).

Commentator, American Society of Comparative Law Younger Comparativists Committee Workshop on Comparative Business & Financial Law, University of California – Davis School of Law, Nov. 7-8, 2014 (invited).

Commentator, Panel on Corporate Philanthropy & Corporate Social Responsibility (CSR), China Philanthropy Summit, Indiana University Research Center for Chinese Politics & Business, Indianapolis, Indiana, Oct. 31-Nov. 1, 2014 (invited).

“Legal & Social Change in Today’s China,” 2014 China Town Hall, International Relations Council & the National Committee on U.S.-China Relations, Washburn University, Topeka, Kansas, Oct. 16, 2014 (invited local keynote speaker following national broadcast with former Pres. Jimmy Carter).

“Team Production & the Multinational,” Berle VI Symposium: Blair & Stout and Beyond, University of Seattle School of Law, June 23-24, 2014 (invited).

“From the Balance Sheet to Beta: A Hands-On Approach to Teaching Accounting & Finance Concepts, AALS 2014 Midyear Meeting Workshop: Blurring Boundaries in Financial & Corporate Law, June 7-9, 2014 (selected).

“The Recursivity of Reform: China’s Amended Labor Contract Law,” Case Western Reserve University School of Law Faculty Speaker Series, Nov. 8, 2013 (invited).

“Reinventing Regulation without Reinventing Government: Corporate Social Responsibility in the PRC,” American Society of Comparative Law Younger Comparativists Committee, New Voices in Comparative Law Conference, William H. Bowen School of Law, Univ. of Arkansas – Little Rock, Apr. 18-19, 2013 (selected).

“Corporate Governance as Risk Regulation in China: A Comparative View of Risk Oversight, Risk Management, and Accountability,” Association of American Law Schools (AALS) Annual Meeting, Section on Business Associations program on Business Associations and Governance in Emerging Economies, Jan. 5, 2013 (selected).

“Of Enterprise Principles and Corporate Groups: Does Corporate Law Reach Human Rights?” Law & Society Annual Conference, June 5, 2012, Honolulu, Hawaii (invited).

“Regulating Beyond Regulation: State Sponsorship of Corporate Social Responsibility in China,” Chinese Law Works-in-Progress Workshop, Columbia University School of Law, May 9, 2012 (invited).

“Corporate Social Responsibility, Law, and the State: The PRC Approach in Comparative Perspective,” Institute for U.S.-China Issues, University of Oklahoma China Faculty Forum, Oct. 5, 2011 (invited).

“Corporate Boards & Risk Oversight Disclosures,” 2011 Midwest Law & Economics Association Annual Meeting, Sept 16-17, 2011, Indiana University Maurer School of Law.

"Labor Law Reform in China: Is it Working?," Ind. U. Rsrch. Ctr. for Chinese Pol. & Bus. (RCCPB), Symposium: "Chinese Workers Under Threat or Threat to U.S. Workers?", Bloomington, Indiana Mar. 31, 2010 (invited).

“Enlightened Shareholder Value, Risk Management and Corporate Law,” Loyola University of Chicago, Annual Conference on Risk Management and Corporate Governance, Oct. 1, 2009 (selected).

"The Financial Crisis & Implementation of China's New Labor Laws," Albert Shanker Institute conference on "The Financial Crisis and Worker Rights in China," Washington, D.C., June 7-8, 2009 (invited).

“Pathways to Careers in International Public Interest Law,” Norman Amaker Public Interest Law Retreat sponsored by the ABA Section of International Law, the Society of American Law Teachers (SALT), and Indiana University School of Law - Indianapolis, Indiana, Feb. 23, 2008 (invited).

Regional Presentations & Workshops

“China & the Global Economy,” International Officers’ Program: The Global Economy, University of Kansas, Nov. 12, 2019.

“Introduction to Chinese Law: Topics in International Trade & Investment,” Black & Veatch, Kansas City, May 8, 2019 (invited).

“The Taiwan Relations Act: Context and History,” U.S.-Taiwan Relations as the Taiwan Relations Act Turns 40, International Relations Council of Kansas City & Taipei Economic and Cultural Office (Denver), April 10, 2019 (invited).

Respondent, “Global Economic Development & Cultural Exchange,” Edgar Snow Foundation 18th Biennial Symposium: “80 Years of Exchange” & International Business Conference, Kansas City, Oct. 5, 2018 (invited).

Respondent, “U.S.-China Trade & Investment: Understanding the Big Picture,” Edgar Snow Foundation 18th Biennial Symposium: “80 Years of Exchange” & International Business Conference, Kansas City, Oct. 6, 2018 (invited).

Moderator and Organizer, “The Opportunities & Challenges of Chinese Foreign Investment: A Public Forum,” Kansas City World Trade Center, May 24, 2018 (supported by the National Committee on U.S.-China Relations’ Public Intellectuals Program, funded by Carnegie Corporation of New York) (invited).

“A Lawyer’s View on U.S.-China Relations,” Bureau of Prisons, Kansas City, May 23, 2018 (invited).

Organizer, Moderator, & Commentator. 4th Annual Great Plains International & Comparative Law Colloquium, University of Kansas School of Law, May 10, 2018.

“Nonfinancial Risk & the Costs of Private Ordering,” Chicago-Kent School of Law Faculty Forum, Sept. 6, 2017 (invited).

“China's Green Credit Reforms: Creditor Monitoring & ESG Transparency,” Great Plains International and Comparative Law Colloquium, Washburn University School of Law, May 2, 2017.

“The SEC’s Sustainability Imperative,” Business Law Forum, Villanova University School of Law, Mar. 31-Apr. 1, 2017 (invited).

“Harmonizing Disclosure,” Washington & Lee University School of Law Faculty Workshop, Oct. 13-14, 2016 (invited).

“Reinventing Regulation: Corporate Social Responsibility and the Bounds of New Governance in China,” Great Plains International and Comparative Law Colloquium, Washburn University School of Law, May 5, 2016.

Commentator, Inaugural Great Plains International & Comparative Law Colloquium, Washburn University School of Law, May 14, 2015 (invited).

“Control & Autonomy in Chinese Corporate Groups,” Junior Faculty Workshop, Washington University in St. Louis School of Law, May 1, 2015 (selected).

“Testing the Risk Hypothesis,” University of New Mexico School of Law Faculty Workshop, Mar. 14, 2014.

Faculty Commentator, Association of Legal Writing Professors Forum, Central States Legal Writing Conference, University of Kansas, Sept. 27, 2013 (invited).

“Risk-Related Shareholder Proposals & the Scope of the Ordinary Business Exception,” Faculty Workshop, University of Missouri School of Law, March 1, 2013.

“Rethinking Enterprise Principles and the Governance of Corporate Groups”

- Faculty Workshop, University of Arizona James E. Rogers School of Law, March 1, 2012.
- Junior Faculty Workshop, Washington University in St. Louis School of Law, Feb. 17, 2012 (selected).

“Theories of Corporate Groups: Corporate Identity Reconciled,” Michigan State University School of Law, Junior Faculty Workshop, Oct. 7, 2011.

“Rethinking Corporate Personality in an Era of Corporate Groups,” University of Cincinnati School of Law Faculty Workshop, April 15, 2011 (invited).

“Interactive Corporate Compliance: The Puzzling Case of China,” Central States Law School Association conference, University of North Dakota School of Law, Sept. 25, 2010.

“Labor Conflict in China: The Limits & Power of Legal Reform,” Indiana University–Bloomington East Asian Studies Center, Feb. 6, 2009 (invited).

“Local and Global Drivers of U.S.-China Trade Relations: Is ‘Harmony’ Possible?,” Indiana University Maurer School of Law, Nov. 18, 2008 (invited).

“Competition Law in China: Unpacking China’s New Anti-Monopoly Law,” Kelley School of Business, Kelley Direct Program, Indiana University–Bloomington, October 30, 2008 (invited).

“Careers in Asia and the Law,” Asia Career Forum, University of Notre Dame School of Law, Apr. 8, 2005 (invited).

“Privatizing Justice: Workers, the State, and the Challenges of Labor Law Enforcement in China,” Center for Asian & Pacific Studies, University of Iowa, April 19, 2004 (invited).

State & Local Presentations

“Mainstreaming Responsible Investment,” University of Kansas Red Hot Research Series, Nov. 14, 2014 (invited).

Panelist, University of Kansas School of Law Faculty Writing Skills Brownbag, Oct. 28, 2014.

Faculty Commentator, Presentation by Dr. Andrew Morriss, “Regulatory Competition and Offshore Financial Centers: How the Cayman Islands Make America More Free,” KU School of Law Federalist Society, Feb. 28, 2013.

“Sustainable Growth and the Role of Law in China,” University of Kansas China Forum, Jan. 20, 2012 (invited).

"Corporate Citizenship & the State: Defining Corporate Social Responsibility in the PRC," University of Kansas Center for East Asian Studies “Tea & Talk” Series, Oct. 24, 2011.

Invited Guest Lecture

“Corporate Governance in China,” Guest Lecturer, International & Comparative Aspects of Corporate Law (course instructor: Prof. Verity Winship), Univ. of Illinois School of Law, Nov. 11, 2014.

AWARDS & FELLOWSHIPS

| | |
|---|--------------|
| Woodyard International Educator Award, University of Kansas | 2019 |
| Earl B. Shurtz Research Professor, University of Kansas School of Law | 2018-present |
| Visiting Research Fellow, Central University of Finance & Economics International Institute of Green Finance | 2018-2019 |
| Academy of Legal Studies in Business (ALSB) Hoeber Award for Excellence in Research, awarded for “ <i>Nonfinancial Disclosure & The Costs of Private Ordering</i> ,” 55 AM. BUS. L. J. 1 (lead article) (2018). | |
| National Committee on U.S.-China Relations Public Intellectuals Program Fellow | 2016-2018 |
| Edwin W. Hecker, Jr. Teaching Fellow | 2015-2018 |
| Docking Young Faculty Scholar Award, University of Kansas (awarded to young faculty who have distinguished themselves early in their careers through exceptional research and teaching) | 2013-2016 |

Teacher of the Year, University of Kansas School of Law Designate, 2014
Association of American Law Schools (AALS)

Immel Teaching Award, University of Kansas School of Law 2013

FUNDING & GRANTS

KU Office of International Programs China, India, Korea Faculty Research Fund (\$3,000) 2018

KU Center for East Asian Studies Research Travel Grant (\$1000) 2017

Chinese National Social Science Foundation Major Initiatives Grant 2016
“Major Questions on the Regulation of Corporate Social Responsibility at the Forefront of
Green Development – Green Transparency” [2016 年度国家社会科学基金重大项目：
绿色发展战略下中国企业社会责任立法重大问题研究 – 以绿色信息透明化为视角]
(applied, not funded)

KU Office of International Programs International Summer Travel Support 2016
Fund (\$3,000)

KU Office of International Programs China, India, Korea Exchange Agreement 2013
Support Fund (\$3,400 (including KU Law School matching funds \$1,500))

KU New Faculty Research Grant, *Rethinking Corporate Personality in an Era of* 2011
Corporate Groups (\$3,000)

KU Center for East Asian Studies Travel Grant (\$800); Research Grant (\$800) 2011

KU Office of International Programs Summer Travel Grant (\$850) 2011

Internationalizing the Curriculum Faculty Grant, KU Office of International 2011
Programs (awarded to develop L841 Chinese Law (\$500))

PROFESSIONAL EXPERIENCE

BAKER & DANIELS, LLP, Indianapolis, Indiana Aug. 2004-Jan. 2008
Associate, International and Private Equity Practices.

DAVIS, BROWN, KOEHN, SHORS & ROBERTS PC, Des Moines, Iowa Sept. 2003-Apr. 2004;
Associate, Business Division. Aug. 2001-Aug. 2002

HON. ROBERT W. PRATT, U.S. DISTRICT COURT, S. DIST. OF IOWA, *Judicial Clerk.* 2002-2003

SERVICE CONTRIBUTIONS

International Service

National Committee on U.S.-China Relations Congressional Staff Delegation Scholar Escort, Nov. 2019 (selected) (accompanying delegation of U.S. Congressional staff to China).

International Academy of Comparative Law, 2018 Congress, Younger Scholars Conference Planning Committee (Spring 2016-2018)

National Service

National Committee on U.S.-China Relations Public Intellectuals Program Advisory Board Member (2018-present).

Association of American Law Schools (AALS)

- Chair, Section on Transactional Law & Skills (2019-2020)
- Chair-elect, Executive Committee, Section on Transactional Law & Skills (2016-2018)
- Executive Committee, East Asian Law Section (2018-present)

American Society of Comparative Law (ASCL) (2013-present)

Executive Committee (2018-present)

Director (representing the University of Kansas) (2015-present); Delegate (representing the University of Kansas) (2013).

Investment Committee, Member (2017-present).

Chair, Younger Comparativists Committee (YCC) (2016-2017), Vice-Chair Elect (2015-2016), Treasurer (2014-2015).

- Panel Moderator, “New Voices in Comparative Law,” American Society of Comparative Law Annual Meeting, Oct. 2017.
- Hosted & organized American Society of Comparative Law Younger Comparativists Committee Workshop on Comparative Business & Financial Law, University of Kansas School of Law, Feb. 10-11, 2017.
- Planning Committee Member, 2016 YCC Global Conference, Tulane University School of Law, Mar. 18-19, 2016.
- Facilitated search for ASCL Executive Committee Information Officer
- Coordinated adoption of membership software tool
- Responsible for all financial matters and conference-related funding allocations
- Served as planning committee co-chair (with Afra Afsharipour & Wulf Kaal) for the 2016 Workshop on Comparative Business & Financial Law, Univ. California – Davis School of Law, Feb. 7-8, 2016.
- Served as planning committee co-chair (with Afra Afsharipour & Wulf Kaal) for the 2014 Workshop on Comparative Business & Financial Law, Univ. California – Davis School of Law, Nov. 7-8. 2014.

Chair, Younger Comparativists Committee (YCC) Membership Advisory Group (2013-2014)

- Developed and administered the first inaugural Phanor J. Eder Prize, awarded at the YCC annual conference to the best paper by a J.D. or LL.B. candidate.

Fourth Annual Great Plains International & Comparative Law Colloquium, University of Kansas School of Law, May 10, 2018, Conference Organizer & Moderator.

2017 YCC Workshop on Comparative Business & Financial Law, Univ. of Kansas School of Law, Feb. 10-11, 2017, Conference Organizer & Planning Committee Chair.

ABA Section of Business Law, Corporate Governance Committee, Governance & Sustainability Joint Subcommittee, International Developments Focus Group Chair (2015-present), Member (July 2012 to present).

- Contributed to Working Group drafting comments on the Securities and Exchange Commission Concept Release on Business & Financial Disclosure Required by Regulation S-K, Rel. 33-10064, Apr. 13, 2016.
- Responsible for expanding access by the bar to information on international developments in securities law and corporate governance relating to sustainability issues.
- Responsible for annual programming & publication plan on international legal developments.
- Coordinate with related ABA committees on joint programming.

ABA Section of Environment, Energy & Resources, Policy Initiative: Sustainability Financial Reporting – G20 Task Force on Climate-Related Financial Disclosures (2016-2017)

- Contributor to Federal Regulation & Corporate Governance Workstream – Conducted primary research and contributed to policy paper draft submission on climate-related financial reporting in China, Hong Kong, and the United States.
- Contributor to Voluntary Reporting & Global Capital Markets Workstream – Contributed to policy paper draft submission on shareholder activism.

Peer Reviewer, *American Journal of Comparative Law*; *Yale Law Journal*; *Columbia Law Journal*; *Routledge (Taylor & Francis)*, *Cambridge University Press*; *China Quarterly*; *European Journal of Risk Regulation*; *Regulation & Governance*; *China Review International*; *Asian Studies*; *Frontiers of Law in China*; *UBC Law Review*; *Public Administration Review*; *Public Performance & Management Review*, *Review of European, Comparative & International Environmental Law*; *Polity*

Service to the University

Appointments

International Affairs Committee (2018-present) (appointed).

Office of International Programs Faculty Advisory Board (2015-2017) (appointed).

University of Kansas Center for East Asian Studies (CEAS) Faculty Advisory Committee (2012-2014) (nominated & elected); CEAS FLAS Selection Committee (Spring 2013) (appointed).

Core Faculty Member, University of Kansas Center for Global & International Studies (2013 to present).

Affiliated Member, University of Kansas Center for Social Policy (2012 to present).

Core Faculty Member, University of Kansas Center for East Asian Studies (CEAS) (2010 to present).

Graduate Committees

Member, Global & International Studies M.A. Thesis Committee, Spencer Shanks, “Chinese Terrorism Law” (Chair, Dr. John Kennedy) (Aug. 2015-May 2018).

Member, East Asian Studies M.A. Thesis Committee, Kasey Considine, “Gender Dynamics in the Chinese Legal System” (Chair, Dr. Keith McMahon) (Mar.-May 2016).

Member, Indigenous Studies Program M.A. Project Committee, Scott McGreevy, “Lower Brule Students and the Partnership Model of Entrepreneurial Education” (Chair, Dr. Michael Zogry) (Apr.-May 2015).

Service to the Law School

Doctoral Committees

Chair, S.J.D. Committee, Sarmad Majeed, “Stabilization Clauses” (2017-present).

Member, S.J.D. Committee, Neyamul Islam, “Legal and Non-Legal Barriers to South Asian Free Trade” (2017).

Member, S.J.D. Committee, Vivek Sehrawat, “Drones: The Role of LOAC, Targeted Killing, International Law, and Privacy Law,” (2017).

Member, S.J.D. Committee, Abdulmajid Alashikh, “Measuring Compensation for Reporting and Disclosure Damage: A Comparison of Islamic, Saudi, and American Law,” (2017).

Member, S.J.D. Committee, Zainab Radhi, “An International Islamic Financial Institution: A New Approach to Development” (2014-2015).

Member, S.J.D. Committee, Mucahit Aydin, “Striking a Balance on Due Care Liability of Corporate Directors in Delaware” (2014).

Member, S.J.D. Committee, Fr. Alphonsus Ihuoma, “The Holy See, Social Justice, and International Trade Law: Assessing the Social Mission of the Catholic Church in the GATT-WTO System” (2012-2014).

Member, S.J.D. Committee, Lijuan Xing, “Behind the Multilateral Trading System: Legal Indigenization and the WTO in Comparative Perspective” (2011- 2012).

Law School Committees & Other Service

Director, Polsinelli Transactional Law Center (2019-present).

Co-Director & Advisory Board Member, Polsinelli Transactional Law Center (2015-2019).

Chair, Graduate & International Programs Committee (2017-present); Member (Fall 2010-2017).

Faculty Recruiting Committee (2019-2020)

S.J.D. Admissions Committee (2015-present).

Pro Bono Committee (2016-present).

Post-Tenure Review Committee (2013-2014, 2017-2018).

Law Review Faculty Advisor (2015-2017).

Bar Passage Data & Finance Committee (2015-2016).

ABA Self-Study Committee (Fall 2012-Spring 2013).

Student Mentoring

Faculty Advisor, Christian Legal Society, University of Kansas School of Law (2014 to present).

Faculty Advisor, Asia Law Society, University of Kansas School of Law (2011 to present).

Faculty Advisor, Women in Law, University of Kansas School of Law (2011-2019).

Service to the State & Region

Member, Kansas Bar Association, Corporate Business and Banking Section, 2013-present
General Corporation Code Review Committee.

- Contributed to the 2017-2018 review and revision of the Kansas Limited Liability Company Act.
- Primary drafter of Kansas' first benefit corporation legislation (passed and eff. July 1, 2017).
- Contributed to the 2014-2015 review and revision of the Kansas General Corporation Code, enacted 2016.

“Emerging Trends in Business Organizations: New Entity Forms,” CLE Over Easy, University of Kansas, Kansas City, Nov. 14, 2014.

“Thinking (or Going) Outside the Box: Understanding B-Corps, L3Cs, and Other Hybrid Entities,” Kansas Bar Association Corporate Banking and Business Law Section “Nuts & Bolts” Seminar, Oct. 3, 2014.

“Proposed Changes to the Kansas Revised Limited Liability Company Act,” Recent Developments in the Law Continuing Legal Education Program, May 31, 2013.

Service to the Community

Member, Board of the Hutton Honors College Alumni Association of Indiana University 2011-2014

Liaison for the Willow Domestic Violence Center and 2012-2013
KU Women in Law advocacy partnership

General Counsel, Secretary, & Member of Board of Directors, Iowa Asian Alliance 2002-2003

ACADEMIC & PROFESSIONAL AFFILIATIONS

Bar Admissions: New York; Indiana (inactive); Iowa (inactive)

Member, *American Bar Association*, Section of International Law, Section of Business Law

Member, *American Society of International Law*

Member, U.N. Principles for Responsible Investment (PRI) Academic Network

Affiliate, University of Oslo Sustainable Market Actors for Responsible Trade (SMART) Program,
<https://www.jus.uio.no/ifp/english/research/projects/smart/>

LANGUAGES

Mandarin Chinese (fluent); Cantonese (fluent); Russian (advanced); French and Spanish (passive proficiency)